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# Complexity revealed in the greening of the Arctic

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## Editor's Summary

As tundra ecosystems respond to rapid Arctic warming, satellites records suggest a widespread greening. This Perspective highlights the challenges of interpreting complex Arctic greening trends and provides direction for future research by combining ecological and remote sensing approaches.

## Abstract

As the Arctic warms, vegetation is responding and satellite measures indicate widespread greening at high latitudes. This 'greening of the Arctic' is among the world's most significant large-scale ecological responses to global climate change. However, a consensus is emerging that the underlying causes and future dynamics of so-called Arctic greening and browning trends are more complex, variable, and inherently scale dependent than previously thought. Here, we summarize the complexities of observing and interpreting high-latitude greening to identify key priorities for future research. Incorporating satellite and proximal remote sensing with *in-situ* data, while accounting for uncertainties and scale issues will advance the study of past, present, and future Arctic vegetation change.

## Main text

The Arctic has warmed at more than twice the rate of the rest of the planet in recent decades<sup>1,2</sup>. Over the past forty years, satellite-derived vegetation indices have indicated widespread change at high latitudes<sup>3–16</sup>. Satellite records allow for the quantification of change in places that are otherwise unevenly sampled by *in-situ* ecological observations<sup>17</sup>. Positive trends in satellite-derived vegetation indices (often termed Arctic greening)<sup>15</sup> are generally interpreted as signs of *in-situ* increases in vegetation height, biomass, cover and abundance<sup>5,18,19</sup> associated with warming<sup>5,14</sup>. In the most recent Intergovernmental Panel on Climate Change report, tundra vegetation change including greening trends derived from satellite records<sup>20</sup> was identified as one of the clearest examples of the terrestrial impacts of climate change. Large-scale vegetation-climate feedbacks at high latitudes associated with

greening could alter global soil carbon storage and the surface energy budget<sup>21,22</sup>. In recent years, slowing or reversal of apparent greening from satellite studies have been reported in some regions (sometimes termed Arctic browning)<sup>3,4,12,13,15,23,24</sup>. This slowdown is seemingly at odds with earlier responses to long-term warming trends<sup>3,25</sup>. Research now indicates substantial heterogeneity in vegetation responses to climate change in the Arctic<sup>18,19,26,27</sup>. However, the mechanistic links between satellite records and *in-situ* observations<sup>3,6,24</sup> remain unclear due to conceptual and technical barriers in their analysis and combined interpretation.

## **A review of Arctic greening**

The terms Arctic ‘greening’ and ‘browning’ can have different meanings in the remote sensing and ecology literatures. From a remote sensing perspective, ‘greening’ (hereafter spectral greening) generally refers to a positive trend<sup>4,5,7,8,10,13–15</sup>, and ‘browning’ (hereafter spectral browning) generally refers to negative trend in satellite-derived vegetation indices<sup>3,4,12,13,15,23,24</sup>. Less frequently, greening is also used to describe advances in the seasonal timing of these vegetation proxies<sup>4,28</sup>. From a field-ecology perspective, greening (hereafter vegetation greening) and browning (hereafter vegetation browning) refer to field-observed changes in vegetation<sup>4,12,13,24</sup>. Historically, the general terms greening and browning were thus used to describe both a proxy of vegetation change and/or vegetation change itself depending on context. This lack of precise usage causes conceptual misunderstandings about Arctic greening and attribution to the drivers of change. Here, we present the current understanding of Arctic spectral and vegetation greening and browning to lay the foundations for a consensus between the remote sensing and field ecology perspectives.

### *Vegetation indices as proxies of vegetation productivity*

Long-term trends in global vegetation dynamics are most commonly quantified from time series of spectral vegetation indices derived from optical satellite imagery (Figure 1). These

indices are designed to isolate signals of leaf area and green vegetation cover from background variation by emphasizing reflectance signatures in discrete regions of the radiometric spectrum<sup>6,29–32</sup>. Common vegetation indices include the Normalized Difference Vegetation Index (NDVI, Figure 2), Enhanced Vegetation Index (EVI) and Soil Adjusted Vegetation Index (SAVI), among others<sup>33–35</sup>. NDVI correlates with biophysical vegetation properties like Leaf Area Index (LAI) and the fraction of Absorbed Photosynthetically Active Radiation (fAPAR)<sup>14,36–39</sup>. However, these vegetation indices were not developed in polar contexts<sup>40</sup> and are only proxies of photosynthetic activity rather than direct measurements of biological productivity<sup>33,39,41</sup>. NDVI is the most commonly used vegetation index because it is simple to calculate with spectral bands monitored since the launch of early-generation Earth-observing satellites in the 1970s (Figure 2) and is perhaps best defined as a measure of above-ground vegetation greenness.

The longest-term openly-available NDVI datasets have been produced from satellite-based sensors with broad spatial coverages and different sampling frequencies. The most common datasets include: 1) the Advanced Very-High-Resolution Radiometer (AVHRR – 1982 to present) on board NOAA satellites, 2) the Moderate-resolution Imaging Spectroradiometer (MODIS – 2000 to present) on board NASA satellites, and 3) NASA-USGS Landsat sensors (1972 to present). Most studies of long-term trends calculate annual measures of maximum NDVI to derive change over space and time, though time-integrated approaches are also used<sup>30,42–44</sup>. However, trends in NDVI data produced from different satellite datasets or using different methods do not always correspond at a given location<sup>6,45,46</sup> (Figure 1a,c). Thus, it can be challenging to distinguish ecological change from differences due to methods and sensor/platform-related issues when interpreting localised spectral greening or browning signals (Table 1, Figure 2).

*Ecological factors influencing greening and browning trends*

The ecological processes underlying spectral greening or browning measured by satellites are diverse and may unfold across overlapping scales, extents and timeframes. In tundra ecosystems, vegetation changes linked to spectral greening could include: encroachment of vegetation on previously non-vegetated land surfaces<sup>18,47</sup>, changes in community composition – such as tundra shrub expansion<sup>5,19,27</sup>, and/or changes in plant traits such as height<sup>48,49</sup>, leaf area, or phenology<sup>50–52</sup>. Tall shrub tundra typically has a higher NDVI than other tundra plant types<sup>49,53,54</sup>, and bare ground<sup>29</sup> has a much lower NDVI than vegetated tundra (Figure 2). Spectral browning could be related to a variety of factors including for example loss of photosynthetic foliage<sup>12</sup> or increases in bare ground cover due to permafrost thaw<sup>55</sup> (Figure 1). Thus, changes in the species composition, growth form and traits of plant communities can influence greening and browning trends.

*Physical factors influencing greening and browning trends*

Widespread non-biological changes in high-latitude ecosystems could confound and decouple spectral greening or browning trends from changes in plant productivity (Table 1). Land cover, topography, and associated soil moisture, surface water, land-surface disturbances and snow-melt dynamics can all influence the measured spectral greenness of landscapes<sup>56–63</sup> and likely influence greening trends. For example, changes in the extent of summer snow patches<sup>63</sup>, surface water<sup>60</sup> or surface soil moisture<sup>59</sup> that are often associated with landscape-scale topographic variation could influence the measured NDVI of the land surface. At high latitudes, optical satellite sensors are only effective for a short annual window due to the prolonged polar night, while low sun angles and persistent cloud cover reduce data quality in the summer season (Table 1). The unique physical properties of high-latitude ecosystems in addition to the constraints of polar remote sensing are often underemphasized in remote sensing studies of Arctic vegetation change.



## *Arctic browning and heterogeneity of spectral greening trends*

Not all areas of the Arctic are spectrally greening (Figure 1), and in recent years spectral browning and heterogeneity of spectral greening trends have been highlighted<sup>3,4,12,13,23</sup>. Ecological explanations for vegetation browning include for example the sudden loss of photosynthetically active foliage due to extreme climatic events<sup>64–67</sup>, biological interactions (e.g., disease or herbivore outbreaks)<sup>68–70</sup>, permafrost degradation<sup>23,55</sup> (Figure 1), increases in standing dead biomass<sup>71</sup>, coastal erosion<sup>72</sup>, salt inundation<sup>73</sup>, altered surface water hydrology<sup>74,75</sup> or fire<sup>9,76,77</sup>. Spectral browning, however, could be attributed to reduced productivity caused by adverse changes in growing conditions such as lower water availability, shorter growing seasons<sup>3</sup> or nutrient limitation<sup>27</sup>. Nonetheless, long-term spectral greening trends remain far more pervasive than spectral browning in tundra ecosystems. Figures vary from 42% greening and 2.5% browning from 1982 to 2014 in the GIMMS3g AVHRR dataset<sup>78</sup>, 20% greening and 4% browning from 2000 to 2016 in Landsat data<sup>15</sup> and estimates of 13% greening and 1% browning for the MODIS trends calculated for 1000 random points in the tundra polygon in Figure 1 from 2000 to 2018. At circumarctic scales, the magnitude, spatial variability, and proximal drivers of patterns and trends of spectral greening versus browning are not well understood.

## *Correspondence between satellite and ground-based observations*

Evidence for correspondence among *in-situ* vegetation change and trends in satellite-derived vegetation indices is mixed<sup>47,79–81</sup>. NDVI trends across satellite datasets do not necessarily directly correspond with one another<sup>6,9</sup>, nor does any one sensor or vegetation index combination correspond directly with *in-situ* vegetation change<sup>47</sup>. For example, NDVI has been related to interannual variation in radial shrub growth<sup>5,10,82</sup>, yet how radial growth links to change in leaf area, aboveground biomass, or landscape measures of productivity is not always clear<sup>83–85</sup> (Figure 3). AVHRR NDVI greening trends did not correspond with the lack of change observed with Landsat NDVI data and *in-situ* plant composition between 1984 and 2009 in North Eastern Alaska<sup>47</sup>. Direct comparisons of productivity changes from

vegetation cover estimates<sup>18,86</sup>, biomass harvests<sup>53</sup> or shrub growth<sup>87</sup> are complicated by the lack of annual-resolution *in-situ* data and low sampling replication across the landscape. We attribute the mixed evidence for correspondence between *in-situ* and satellite-derived measures of tundra vegetation change and greening to the complexities of existing terminology, challenges of interpretation of spectral vegetation indices at high latitudes, and the scaling issues as outlined below.

In addition to productivity analyses, changes in growing season length and advances in plant phenology have been documented using both satellite<sup>43,78,88–91</sup> and ground-based datasets, and here also paired comparisons do not always correspond (Figure 4). Measures of longer growing seasons have been attributed to earlier snowmelt and/or earlier leaf emergence in spring<sup>92</sup>, and longer periods of photosynthetic activity or later snowfall in autumn<sup>93</sup>. However, few studies have monitored both leaf emergence and senescence of tundra plants *in situ* and so far provide no evidence for an increasing growing period at specific sites<sup>94,95</sup>. In addition, community-level analyses indicate shorter flowering season lengths around the tundra biome<sup>50</sup>. Shifts in plant phenology with warming<sup>50</sup> could also be linked to changing species composition or diversity<sup>18,48,86</sup>, thus influencing the phenological diversity across the landscape<sup>96,97</sup>. Satellite records may not capture the ecological dynamics of vegetation phenology at high latitudes, as snow cover can obscure the plant seasonal signal and deciduous plants only make up a portion of the vegetated land cover. Thus, uncertainty remains whether satellite-derived changes in circumarctic phenology represent a longer snow-free period uncoupled from the vegetation response or an actual realized longer growing season of plants<sup>94,98–100</sup>.

### **Clarifying the terminology**

To distinguish spectral greening and browning events from longer-term trends, we propose clarified definitions of events and trends. For an individual pixel, we define the *spectral trend* as an increase or decrease in NDVI (or other spectral vegetation index) over decadal time

scales and a *spectral event* as a temporal outlier in the vegetation index relative to the long-term trend. Trends should be determined using a Theil-Sen estimator or similar robust statistical test for analyses of satellite data<sup>30,101</sup>. We define a *spectral greening trend* as an increase of the vegetation index over decadal time scales. *In situ*, we interpret a *vegetation greening trend* as improved conditions for photosynthesis, reduced resource limitation and/or positive responses to disturbance in plant communities, resulting in greater aboveground biomass, leaf area, productivity or changes in plant community composition. We define a *spectral browning trend* as a decrease in the vegetation index over decadal time scales. A *vegetation browning trend* may correspond with an *in-situ* change in vegetation productivity due to plant dieback or loss of vegetation cover through biotic or abiotic disturbances. We define *spectral greening events* as short-term increases in vegetation index greenness that can be attributed to an ecological process such as revegetation of ground cover after fire and *spectral browning events* as short-term decreases in the vegetation index that can be attributed to a disturbance such as permafrost thaw or plant dieback. The definitions we propose here distinguish between slower acting climatic or biotic drivers of greening or browning trends versus event-driven changes caused by weather, biotic pulses, or other regional events such as fire.

#### *Differentiating events and trends*

In any measure of remotely sensed or field-based greening separate consideration of trends and events will increase ecological interpretability (Figure 5). Spectral greening and browning trends operate at any spatial scale, from localised patches to landscapes or even biome extents over decades. In contrast, spectral greening and browning events, such as those caused by vegetation dieback or rapid vegetation increase after disturbance, are often restricted to patch and regional scales over shorter durations. Events often have more limited extents relative to trends due to their proximal causes, like changes in herbivory or precipitation. Broader scale events are also possible (e.g. globally synchronized reductions in vegetation productivity caused by changes in insolation related to an intense volcanic

eruption<sup>102</sup>). Therefore, greening or browning events might be embedded within overall spectral greening or browning trends, both temporally and/or spatially, without necessarily driving them (Figure 5). Examining the trend direction, magnitude and variance around the fit over time can shape more detailed investigations into the ecological interpretation of Arctic spectral greening trends.

#### *The influence of baselines and temporal sampling*

The baseline to which we compare productivity change will influence our interpretation of trends<sup>103</sup>. Spectral greening or browning trends and events may result in threshold changes where on-the-ground productivity does not return to the longer-term baseline (Figure 5; e.g., pulse in recruitment at treeline<sup>104</sup> or shrubline<sup>105</sup> or a large fire<sup>77</sup>). In both satellite datasets and field observations, the baseline conditions are often constrained by the limitations of data availability rather than any deliberately selected starting point<sup>6</sup>. The low temporal sampling frequency of a few days to a few weeks of many legacy remote-sensing datasets (e.g., AVHRR, MODIS, Landsat, etc.) also introduces temporal scale-dependent effects that may be magnified in Arctic systems (Table 1). For example, comparisons of phenology across latitudes can be less reliable at higher versus lower latitudes due to shorter growing seasons and therefore fewer satellite data collection points for use in change detection analyses<sup>42,88,89</sup>. Metrics based on the annual maximum NDVI of a given pixel are more likely to be influenced by temporal sampling artefacts at high latitudes than those that integrate productivity estimates through time, such as the growing season integrated NDVI (GSINDVI)<sup>42</sup>, time-integrated NDVI (TiNDVI)<sup>43</sup> or early growing season integrated NDVI indices<sup>44</sup>. Trends in either instance could be observed or not observed due to statistical reasons related to sample size and/or the strength or linearity of the trend. Thus, simple linear analyses of annual greenness metrics derived from satellite data may not always capture real-world ecological change (Figure 5).

## Challenges in the interpretation of vegetation indices

In addition to the need for more clearly defined terms, challenges remain in the ecologically meaningful interpretation of long-term trends in optical satellite data, especially at high latitudes. The statistical relationship between a vegetation index and biomass, leaf area, phenology, or any other measures of productivity can vary due to a suite of intrinsic (e.g., sensor design, quality flagging algorithms), extrinsic (e.g., atmospheric conditions, sun angle, snow cover)<sup>6,106</sup> and biological factors<sup>107</sup> (Table 1). For example, the centre wavelength and width of spectral bands (e.g., in the red or near-infrared) used to generate vegetation indices were designed for different purposes in different sensors (Figure 2). While the NDVI formula may be the same, the covered spectral wavelength ranges differ between different datasets<sup>108</sup> (Figure 2b). Thus, the datasets may be more or less sensitive to specific non-vegetative influences, such as atmospheric scattering or the magnitude of spectral mixing associated with non-vegetated surfaces<sup>57</sup>. Spectral unmixing is the process of decomposing the spectral signature of a mixed pixel into the abundances of a set of endmember categories<sup>109</sup>. Longer-term vegetation change is difficult to resolve from cross-sensor comparisons among different satellite datasets or even among intercalibrations of the same sensor type (Figure 1). For these reasons, caution is warranted when comparing vegetation indices derived from different satellite products or even versions of the same product with different atmospheric corrections, quality assessments, and spatial/temporal compositing approaches<sup>6,108</sup>. Differences in NDVI signal processing are actively studied by the remote-sensing community (Table 1), but could be better accounted for or quantified in Arctic greening studies.

### *Nonlinearities in NDVI as a vegetation proxy*

Direct interpretations of vegetation changes from spectral data are contingent on the local relationship between NDVI and *in-situ* vegetation. The statistical relationships between vegetation indices and measures of Arctic vegetation biomass are nonlinear<sup>29,110</sup> (Figure 2). This nonlinearity presents challenges for trend interpretation that are illustrated in Figure 2a.

Here, an absolute increase in biomass for a ‘low biomass’ community towards a ‘moderate biomass’ community would result in a positive NDVI trend, but that same absolute biomass increase from moderate to high biomass would show virtually no trend in NDVI due to saturation (Figure 2). Thus, the relationship to common ecological variables like changes in biomass or shrub ring widths (Figure 4) can be obscured by nonlinearities. Because the greening and browning terms are tied to changes in vegetation proxies, rather than direct biological measures, a lack of correspondence could occur between remotely-sensed vegetation proxies and *in-situ* vegetation change (Figure 2, 4 and 5). Such potential discrepancies exemplify why caution should be used when interpreting linear trends in proxies like NDVI (Figure 1) that are nonlinearly related to vegetation productivity without the use of *in-situ* data to corroborate conclusions.

#### *Scaling issues in Arctic greening analyses*

Scale and hierarchies present a longstanding challenge in the interpretation of remotely-sensed vegetation proxies<sup>111–113</sup> (Figure 5). All long-term vegetation proxy time series (Landsat, MODIS, AVHRR) spatially aggregate spectral data to pixels (i.e., grains) that span hundreds of square metres to tens of square kilometres. The spectral signatures of plants and non-vegetative features in a landscape are reduced to a single value. The loss of variability within pixels masks information useful for the attribution of greening signals to processes across ecological hierarchies from populations and communities to ecosystems (Table 1, Figure 3 and 5). For example, within a single AVHRR GIMMS3g pixel, a subselection of 1 x 1 km pixels are upscaled to 8 x 8 km<sup>32</sup>. Within this aggregated pixel, ecological contributions to spectral greening signals such as increased shrub cover on south-facing slopes or revegetation of drained lake beds may be mixed with browning signals from for example disturbances such as retrogressive thaw slumps or vegetation trampling by herbivores (Figure 1). High-latitude pixels may also contain shadows caused by low-sun angle, patchy snow- and/or cloud-cover (Table 1). Thus, the emergent time series from such a pixel describes no single vegetation dynamic or environmental factor, but rather

their integrated spectral responses. Broad-scale patterns of spatial variability in greening and browning across pixels are also influenced by grain size<sup>113</sup> (Figure 1, 2, 5). Finer resolution satellites such as Landsat can reduce, but not necessarily eliminate such spectral mixing<sup>15</sup>. However, the extent to which the sometimes-contradictory greening and browning signals found across different spectral datasets can be attributed to the influence of the scale of measurement is poorly understood.

#### *Complexities of capturing phenology*

Measuring landscape phenology with satellite data presents additional challenges to ecological interpretation of Arctic greening (Table 1). The variability of timing of satellite imagery from year to year particularly at high latitudes<sup>91</sup> can confound measures of phenology (known as phenometrics). Cloud or fog cover is highly variable and sensitive to changing sea ice conditions in coastal Arctic sites<sup>44</sup>. Seasonal variation in cloud and fog cover influences both data availability and image compositing approaches in many phenology products<sup>91</sup>. In addition, vegetation metrics from early spring are much more likely to be influenced by snow, standing water or low sun angle than those closer to peak biomass in mid- to late-summer<sup>8,54,59</sup>. However, early spring is a critical period for establishing a baseline for curve fitting or thresholding used to derive phenometrics. Ultimately no phenometric is best suited to all Arctic environments or time periods<sup>114</sup>. Snow regimes and land cover variability differ annually and regionally and thus phenometrics using coarse-grain imagery integrate different abiotic and biotic signals at different points in space and time<sup>114</sup>. Phenological differences of days to weeks or even months can result from analyses using different methods and metrics for the same datasets at the same location<sup>115</sup>. These relative differences are of substantial ecological importance given the short growing seasons of the Arctic<sup>78,114</sup> (Figure 4). Circumarctic analyses of vegetation indices generally indicate that phenological shifts in the spectral greenness of the land surface are widespread<sup>78,88–90</sup>. However, the magnitude and extent of spatial and temporal scaling issues

in high-latitude remotely-sensed phenology trends warrant further consideration and research<sup>112</sup>.

### ***Towards a consensus perspective on Arctic greening***

The fields of remote sensing and field-based ecology will benefit from jointly addressing the complexities of interpreting spectral and vegetation greening and browning trends. Analyses from one satellite platform or one specific ecological context is not sufficient to disentangle Arctic greening complexity. The required next steps will be an integration of perspectives and approaches through existing and new international research efforts to address the following critical research gaps:

1. *Addressing scale issues by integrating proximal remote sensing and in-situ observations into circumarctic greening analyses*

Analyses of observations across scales will allow us to bridge the gap and improve our mechanistic understanding of the links between *in-situ* vegetation dynamics and broader remotely-sensed patterns and trends. New instruments for carrying out *in-situ* and proximal remote-sensing observations for comparison with satellite data are developing rapidly. However, we must urgently develop standardized field data collection protocols. In order to facilitate future synthesis, we need to incorporate data from long-term ecological monitoring<sup>12,18,86,94</sup>, historical imagery<sup>116</sup>, phenocam networks<sup>117</sup>, flux towers<sup>118</sup>, high-resolution imagery such as from aircraft, towers, and drones<sup>119</sup> and satellites.

2. *Incorporation of heterogeneity and uncertainty into analyses to improve confidence in detection of Arctic greening trends*

New finer spatial or temporal resolution data will inform analyses of historic greening trends. Current circumarctic Landsat analyses are shedding light on greening trends by exploiting finer spatial resolution data while accounting for the lower temporal resolution of observation records<sup>15</sup>. Recent and ongoing release of finer-resolution satellite datasets (e.g., EU-funded



Sentinel missions, Digital Globe, Planet constellations) and data products (e.g., the Arctic Digital Elevation Model) will provide finer spatial (2-10 m) and/or temporal resolution (1-5 days) data across the Arctic<sup>120</sup>. We can gain a better understanding of past spectral greening signals from legacy satellite datasets by conducting standardized reprocessing with for example statistical methods incorporating uncertainty in observations such as image quality information, improved atmospheric corrections and snow detection.

### *3. Inclusion of new observational tools beyond optical vegetation indices to clarify the mechanistic links between spectral greening and vegetation change*

In addition to incorporating finer resolution datasets, new types of data collection can inform our understanding of what greening patterns and trends represent. Emerging remote sensing campaigns using hyperspectral sensors or those that can measure Solar-Induced Fluorescence (SIF)<sup>121</sup> will provide new insights into vegetation dynamics. However, future sensor development across satellite, aircraft and near-surface platforms should be designed to maximize comparability. In addition to new data collection, novel data integration approaches, for example those employing machine learning, will provide greater insights into biome-scale analyses linking remote sensing observations with ecological change in high-latitude ecosystems<sup>21,122</sup>.

## **Conclusions**

Recent research has highlighted the complexity in observed Arctic greening and browning trends. Although satellite data have been used to detect and attribute global change impacts and resulting climate feedbacks in Arctic ecosystems<sup>20,22</sup>, numerous questions and uncertainties remain. The three major challenges in resolving these uncertainties are: 1) improving the clarity of the definitions of widely used terminology associated with greening and browning phenomena, 2) promoting the understanding of the strengths and limitations of vegetation indices when making ecological interpretations and, 3) better incorporating and accounting for different scales of observation and uncertainty in analyses of changing tundra

441 productivity and phenology. New sensors and better access to legacy data are improving our  
442 ability to remotely sense vegetation change. However, new data alone will not provide  
443 solutions to many of the longstanding conceptual and technical challenges. The complexity  
444 of Arctic greening will only be fully understood through multidisciplinary efforts spanning the  
445 fields of ecology, remote sensing, earth system science and computer science. As a field,  
446 we need to look forwards to quantify contemporary and future change, but also backwards  
447 by conducting reanalyses of historical data. Ultimately, we urgently need a deeper  
448 understanding of the relationships between patterns and processes in greening and  
449 browning dynamics to improve estimates of the globally-significant climate change  
450 feedbacks in high-latitude ecosystems<sup>20</sup>.

Table 1. A variety of geophysical<sup>13,106,123</sup>, environmental<sup>44,60,61</sup> and ecological<sup>12,47,49,54,57,110</sup> factors can influence the magnitude and direction of change in vegetation indices and are particularly problematic at high latitudes<sup>6</sup>. The effects include: 1) Radiometric effects: differences among satellite datasets including band widths, atmospheric effects, cloud-screening algorithms, sensor degradation, orbital shift and bidirectional reflectance distribution functions originating from differences in field of view and sun geometries. 2) Spectral mixing: the blending of sub-pixel spatial heterogeneity that can influence the overall pixel signal (Figure 2). 3) Adjacency effects: the reflectance of surrounding pixels that can influence the signal of a given pixel (Figure 2). And, 4) a variety of environmental and ecological factors from snow melt and soil moisture dynamics to composition of evergreen versus deciduous or vascular versus non-vascular plants.

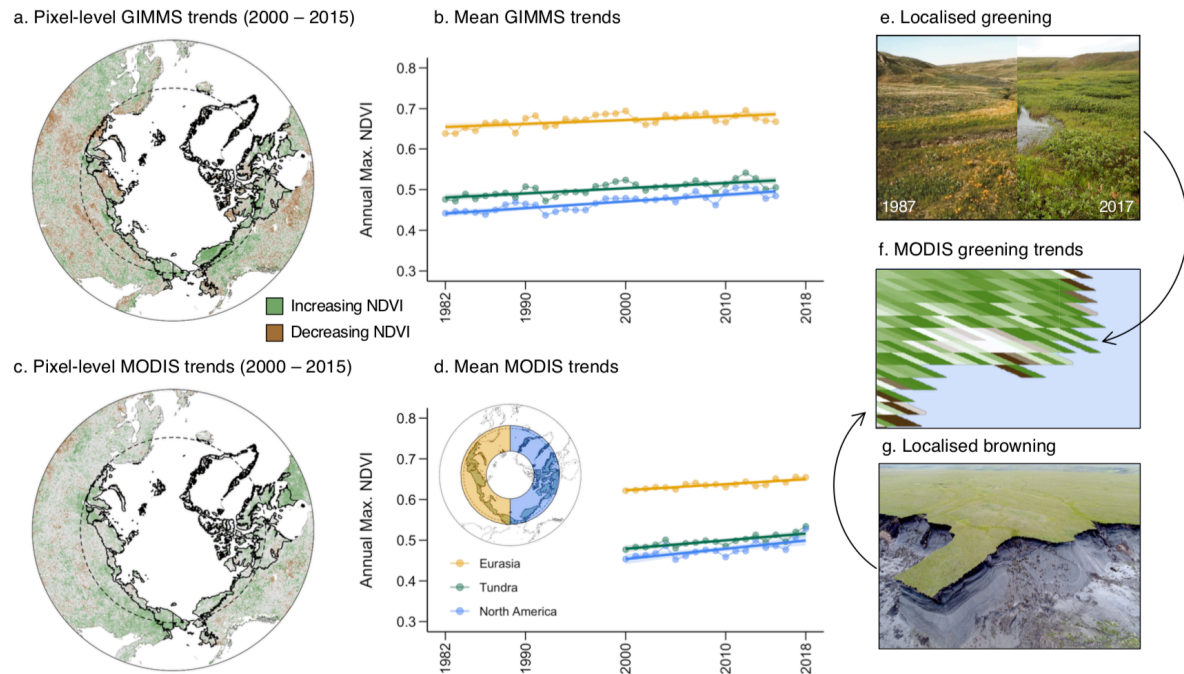
Factors influencing vegetation indices	Specific effects	Influence on apparent greening patterns and trends
Low sun angle	Radiometric effects	At high latitudes, low sun angles and cloud shadows can have a greater influence on vegetation indices relative to lower latitudes <sup>62</sup> . NDVI varies with sun angle, an effect magnified in spring and autumn <sup>62</sup> . Shadows also reduce NDVI and may be difficult to detect in coarse grained imagery <sup>44</sup> .
Cloud cover	Radiometric effects, Spectral mixing, Adjacency effects	Thin cloud, fog and smoke can influence imagery, reducing NDVI. Cloud and fog are particularly problematic in coastal regions and can vary greatly between image acquisitions <sup>44</sup> . Cloud-screening algorithms differ among satellite datasets (in part as a function of available spectral bands), and partly cloudy or hazy conditions are particularly difficult for screening algorithms to detect consistently. In addition, the fogginess of Arctic locations can vary over time due to changing temperatures <sup>44</sup> and/or sea ice conditions <sup>124</sup> .
Standing water	Spectral mixing, Adjacency effects	Standing water <sup>60</sup> can influence comparisons of vegetation indices across space and may not be detectable in coarse-grained imagery, despite influencing spectral signatures. NDVI values of water are generally low, however shallow water or standing water intermixed with vegetation or algal growth may not be identified as water by quality filters and may have higher NDVI. Water within a pixel may lead to artificially low NDVI values and can influence estimates of NDVI change over time. This is especially relevant to the Arctic during the spring and summer as snow melts and turns into ephemeral ponds and lakes whose spectral signatures will be mixed with nearby vegetation <sup>125</sup> . NDVI signals could be driven by changes in standing water over time associated with changing precipitation, permafrost conditions, and/or warming rather than by changes in vegetation <sup>56,57,60,125,126</sup> .
Snow patches	Spectral mixing, adjacency effects	Sub-pixel sized snow patches will decrease the NDVI for a given tundra area <sup>57</sup> . NDVI values of snow are strongly negative. Earlier snow loss or later snow return may drive a strong positive trend in NDVI. Longer persistence of snow on the landscape in patches may not be filtered by

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		quality algorithms, yet could still lead to lower NDVI values.
	Snow versus phenology dynamics	Surface reflectance just after snow off is commonly used as the baseline when fitting phenology models. This approach masks the effects of sub-nivean phenological progression and/or may overemphasise the role of snow-off or snow-on dates as a driver of plant phenology <sup>57,63</sup> .
Soil moisture	Spectral mixing	Soil moisture can influence the reflectance of vegetated tundra surfaces <sup>58,59</sup> . NDVI values are sensitive to soil moisture, which may or may not covary with vegetation change <sup>125</sup> . Furthermore, NDVI is relatively insensitive to changes in very sparsely vegetated (e.g., the High Arctic <sup>127</sup> ) and very densely vegetated (e.g., forest or shrubland <sup>128</sup> ) environments.
	Plant water content	Mosses can absorb water and thus influence surface reflectance of landscapes independent of vascular plant phenology and productivity <sup>126</sup> .
Short growing season	Timing of image acquisition	Trends in NDVI metrics and growing season length can be influenced by the timing of data acquisition. To compare spatial patterns in vegetation indices among sites, images are required from the same time within the growing season and the same time points within the day <sup>126</sup> . However, the short growing seasons at high latitudes make image acquisition particularly challenging. Satellites have different temporal frequencies for overpasses thus influencing comparisons. Growing season length decreases at higher latitudes, thus the impact of missing data is of a greater magnitude as latitude increases.
Rapid plant phenology	Chosen phenometric	The specific metrics used to quantify phenology will influence the resulting patterns observed <sup>91</sup> . Combining datasets with different spatial and temporal resolutions can limit comparisons (Figure 2). Variation in phenology metrics due to curve-fitting methods can exceed variation in measured phenology signals. Thus, using the same phenological functions across large geographic and ecological gradients, such as across the high latitudes, may introduce biases and/or errors.
	Phenological diversity	Changes in phenology of individual species or plants growing in particular microclimates can lead to shifts in landscape phenology <sup>50</sup> .
Plant traits and functional groups or types	Isolating changes in plant productivity and canopy structure versus composition	Vegetation indices are related to radiation absorbed by green foliage (APAR), canopy structure, species composition, leaf-level traits and biomass <sup>37,39</sup> (Figure 2). However, how vegetation indices and ecological properties covary across diverse Arctic ecosystems is not well established. Other factors including bare ground cover, canopy structure, etc. that influence vegetation indices must be accounted for to isolate productivity change from other land surface changes.
	Vascular and deciduous versus non-vascular and evergreen plants	Non-vascular or evergreen plants can obscure the deciduous vascular plant seasonal signal <sup>49,81</sup> . Tundra without vascular plants can additionally have a substantial cover of biological soil crust communities consisting of lichens, cyanobacteria, mosses and green algae that may also influence NDVI <sup>107,126</sup> .

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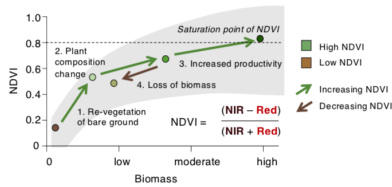
# Satellite records indicate greening trends across the circumpolar Arctic



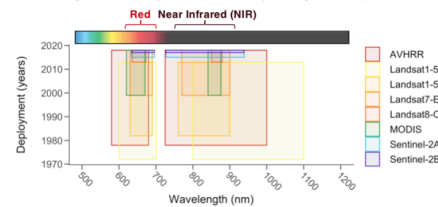
**Figure 1. Arctic greening, which varies across space and time and among satellite datasets, is driven by both actual in-situ change and, in part, by the challenges of satellite data interpretation and integration.** Trends in maximum NDVI vary spatiotemporally and the magnitude of changes is different depending on what satellite imagery is analysed (a and c, data subsetting to temporally overlapping years; b and d, GIMMS3gv1 1982 to 2015 and MODIS MOD13A1v6 2000 to 2018). Regional trends may summarise localised greening, for example shrub encroachment (e) and browning such as permafrost thaw (g) occurring at the pixel scale on Qikiqtaruk - Herschel Island in the Canadian Arctic (f). NDVI trends (a and c) were calculated using robust regression (Theil-Sen estimator) in the Google Earth Engine<sup>129</sup>. Dashed line indicates the Arctic Circle and the black outlined polygon (a and c) and green 'Tundra' line (b and d) indicates the Arctic tundra region from the Circumpolar Arctic Vegetation Map ([www.geobotany.uaf.edu/cavm/](http://www.geobotany.uaf.edu/cavm/)). The inset map in d indicates the regions for the mean trends for yellow 'Eurasia' and blue 'North America' polygons.

NDVI can vary across datasets due to NDVI biomass relationships, bandwidths of sensors and data quality issues

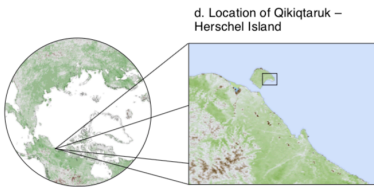
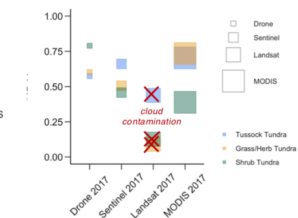
a. Non-linear relationship between NDVI and biomass



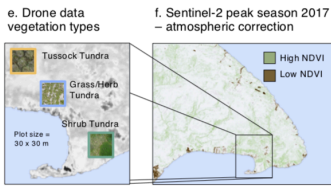
b. Wavelengths and deployment duration vary among satellite platforms



c. Peak growing season NDVI varies among platforms

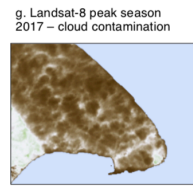


d. Location of Qikiqtaruk – Herschel Island

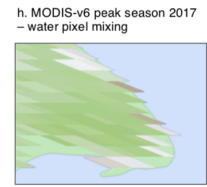


e. Drone data vegetation types

f. Sentinel-2 peak season 2017 – atmospheric correction



g. Landsat-8 peak season 2017 – cloud contamination



h. MODIS-v6 peak season 2017 – water pixel mixing

**Figure 2. Ecological interpretation of trends in the Normalized Difference Vegetation**

**Index (NDVI) requires a consideration of non-ecological factors.** NDVI, calculated as the

difference between red and near infrared bands (NIR), has a non-linear relationship with

several common metrics of plant productivity, like biomass and LAI (a). Satellite platforms

have different spectral band widths which can influence calculations of NDVI despite shared

centre wavelengths (b). NDVI values from commonly available satellite data products and

drone datasets (c) differed substantially across products and across plots of three different

vegetation types (e) during the period of peak biomass in 2017 on Qikiqtaruk – Herschel

Island, Yukon. Here, factors such as a lack of atmospheric correction (f), cloud or fog

contamination (g), sub-pixel mixing (h), different plot grain sizes of data in more or less

heterogeneous vegetation cover and timing of data acquisition could have all influenced

NDVI values. Data were analysed and extracted for 30 x 30 m plots from 13<sup>th</sup> July to 4<sup>th</sup>

August in 2017 using the Google Earth Engine<sup>129</sup> for the MODIS MYD13A1v6 (pixel size =

500 m x 500 m) and Landsat 8 (pixel size = 30 m x 30 m) NDVI product, and the top-of-

atmosphere Sentinel-2 NDVI product without atmospheric corrections (pixel size = 10 m x 10

m) NDVI, and Pix4D-processed drone data collected using a radiometrically calibrated four-

band multispectral sensor (Sequoia, pixel size = 12 cm x 12 cm) on an FX-61 fixed-wing

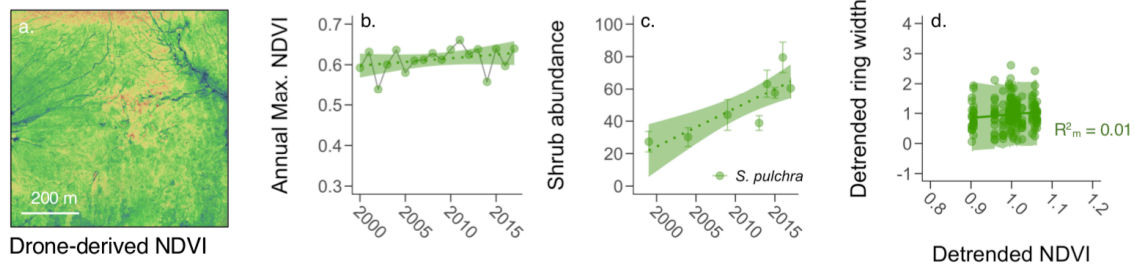
platform with the High-latitude Drone Ecology Network protocols (<https://arcticdrones.org/>).

We purposefully present data with quality and processing issues above to highlight the

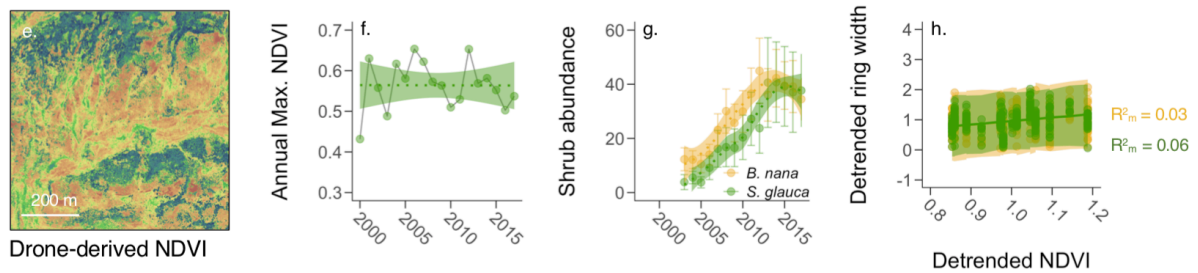
498 challenges in quantifying NDVI in regional-to-global studies where data quality issues may  
499 be spatially or temporally variable among locations.

### Spatial heterogeneity in landcover can influence NDVI ~ vegetation relationships

Qikiqtaruk, Canada – low landscape-level heterogeneity and increasing shrub abundance and variable radial growth



Kangerlussuaq, Greenland - high landscape-level heterogeneity, increased yet stabilized shrub abundance and variable radial growth



**Figure 3. Sub-pixel spatial heterogeneity in vegetative greening and browning cannot**

**be accurately captured at coarser grains.** Landscape patterns (a, e), trends (b, f), and

variability (d, h) in NDVI may not represent *in-situ* observations of vegetation change. NDVI

trends and interannual variability had mixed correspondence with increases in shrub

abundance (c, g) and interannual variability in shrub growth on Qikiqtaruk – Herschel Island,

Yukon<sup>94</sup> (c, point framing in twelve 1-m<sup>2</sup> plots; d, *Salix pulchra* = 21,

<https://github.com/ShrubHub/QikiqtarukHub>) and Kangerlussuaq, Greenland<sup>84,130</sup> (g, 13

0.25-m<sup>2</sup> plots; H, *Betula nana* = 42, *Salix glauca* = 32,

<https://arcticdata.io/catalog/view/doi:10.18739/A24X0Q>,

<https://arcticdata.io/catalog/view/doi:10.18739/A28Q18>,

<https://arcticdata.io/catalog/view/doi:10.5065/D6542KRH>). Errors are standard error bars

around mean values (c, g) and 95% credible intervals for a Bayesian hierarchical model of

the relationship between detrended annual growth rings and NDVI with shrub individual and

year as random effects (d, h). Detrending was done using a spline fit from the dplR package

in R. Credible intervals for model slopes overlapped with zero (d, h). Marginal  $R^2$  values

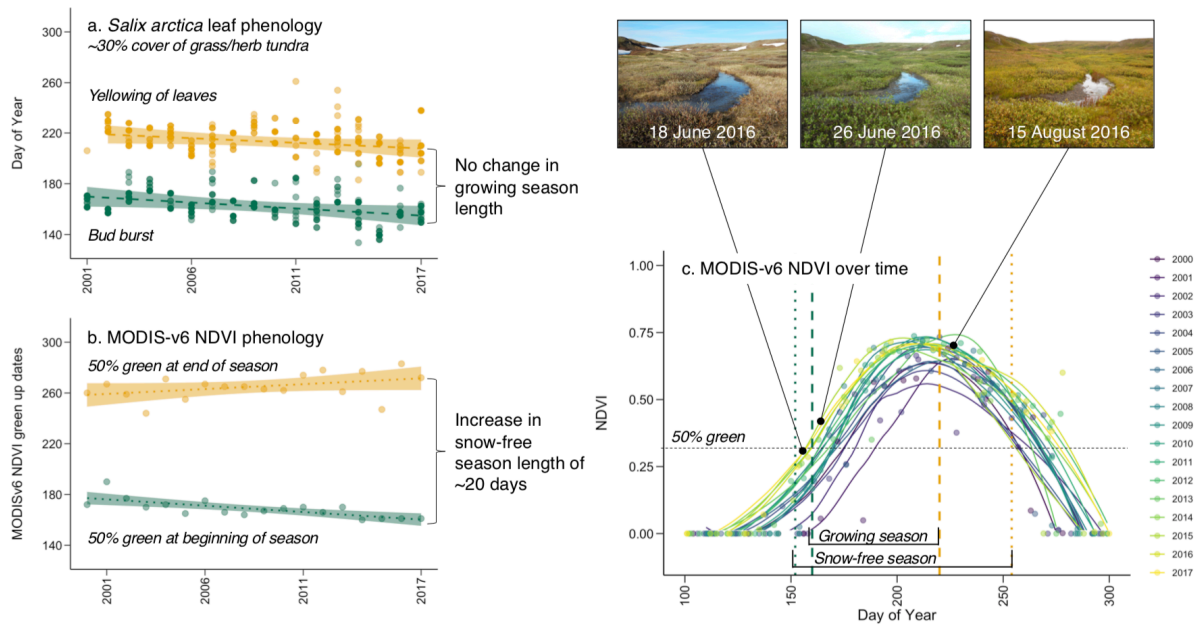
indicate the variance in detrended ring widths explained by detrended NDVI (d, h).

Landscape NDVI patterns (a and e) were measured using a Parrot Sequoia and FX-61 fixed



518 wing platform according to High-latitude Drone Ecology Network protocols in the summer of  
519 2017 (<https://arcticdrones.org/>) and analysed using the Pix4D software. Coarser-grain NDVI  
520 time series (MODIS MOD13A1v6, 500m pixels) were calculated using Google Earth  
521 Engine<sup>129</sup> and the Phenex package in R.

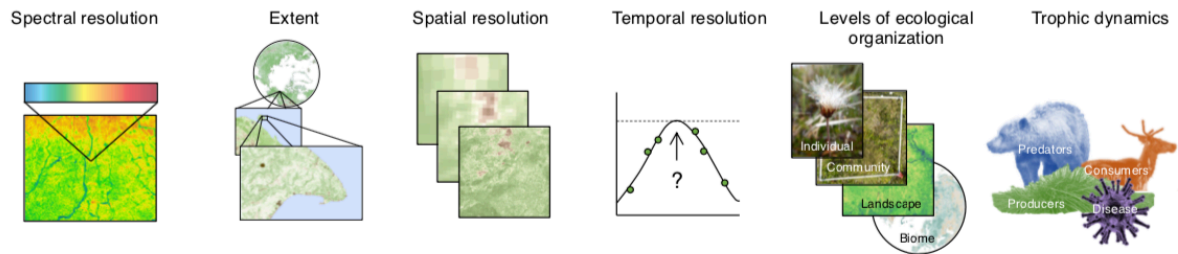
#### Plant phenology does not always match land-surface greenness across the growing season



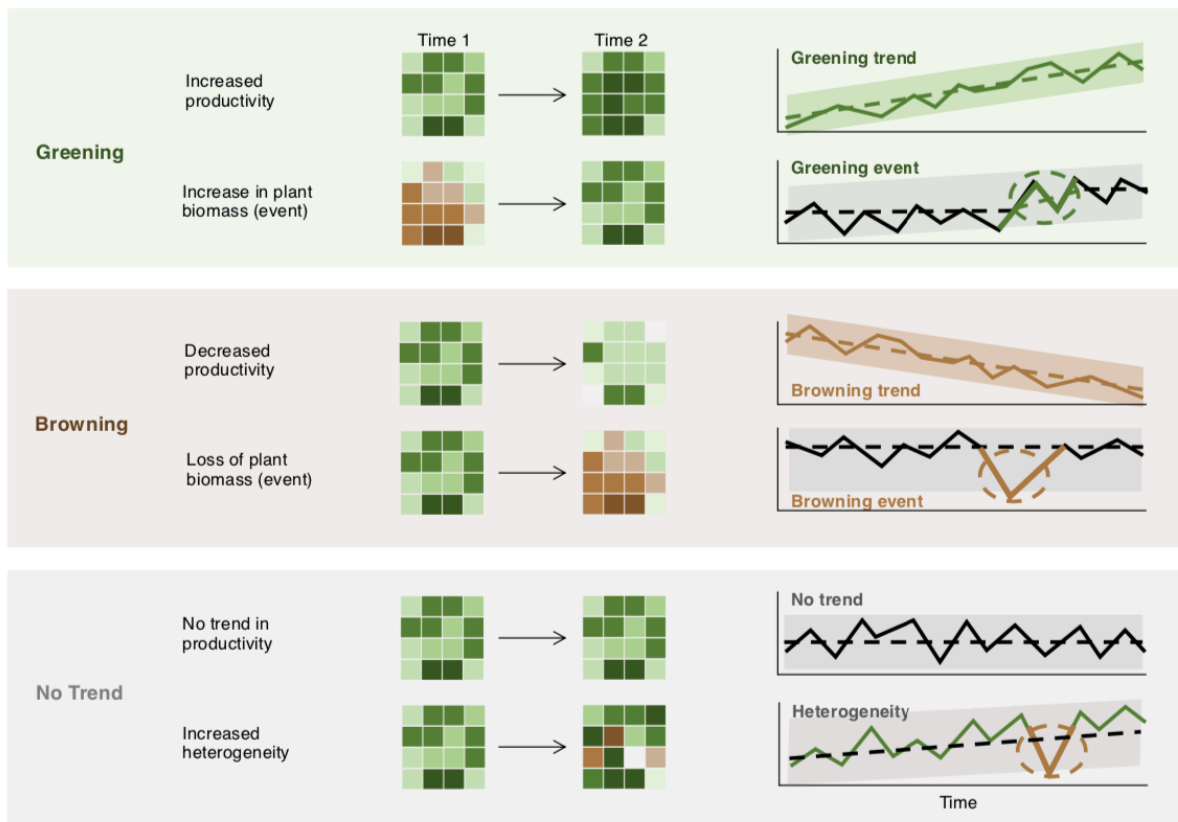
**Figure 4. Satellite-derived phenology estimates do not always match with in-situ plant phenology observations.** Satellite-observed snow-free season length of the land surface (here defined as the period with NDVI greater than 50% of the max NDVI, b and c) might not directly correspond to the growing season of vascular plants in tundra ecosystems, particularly in autumn (a). Snow-melt dynamics can obscure the plant phenology signal and non-vascular or evergreen plants can obscure the deciduous vascular plant seasonal signal. Plant phenology data were collected at 20 monitoring plots on Qikiqtaruk-Herschel Island for the species *Salix arctica*, which makes up approximately 30% of the cover in the grass- and forb-dominated vegetation type. Analyses indicate that both leaf emergence and senescence have become earlier, resulting in no change in realized growing season length despite substantial increases in the snow-free period of the land surface<sup>94</sup> (a – c, <https://github.com/ShrubHub/QikiqtarukHub>). Satellite data are MODIS MOD13A1v6 extracted for the pixel containing the phenology transects using Google Earth Engine<sup>129</sup> and the Phenex package in R (b and c).

# Greening and browning complexity can be addressed by incorporating scale and clarifying ambiguity in terminology

a. Arctic greening patterns and trends are influenced by issues of scale



b. Spatial heterogeneity in NDVI greening/browning patterns can influence greening/browning trends over time



**Figure 5. Arctic greening is influenced by both issues of measurement scale and inference across ecological hierarchies.** Spectral resolution (Figure 2), extent (Figure 1), spatial resolution (Figure 2), landscape-level heterogeneity (Figure 3), temporal resolution (Figure 4), and ecological factors all influence the interpretation of greening trends (a). Within-pixel changes in land surface greening and browning events and trends can translate into different greening and browning patterns as their effects are scaled up (b). Ecological processes that comprise greening and browning trends include a combination of events, such as a pulse of plant recruitment or growth, a dieback of plants due to an extreme winter

546 climate event, herbivore or disease outbreak or other disturbance and subsequent recovery.  
547 Longer-term change such as increasing shrub cover or progression of permafrost  
548 disturbances can also influence real-world NDVI time series. These different factors add  
549 complexity to the interpretation of Arctic greening trends. The scale and hierarchy of  
550 observations need to be incorporated into and/or accounted for in future analyses of Arctic  
551 greening.

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## Author Contributions

IHM-S and JTK conducted the analyses and wrote the manuscript with contributions from all authors. GKP, JWB and HE contributed substantially to early versions of the manuscript. IHM-S, JTK, JJA, AMC, CJ, SA-B, HJDT and ESP collected drone and *in-situ* data. This paper results from two collaborations: the sTundra working group at the German Centre for Integrative Biodiversity Research (iDiv) led by IHM-S, SCE and ADB and the ‘Event Drivers of Arctic Browning Workshop’ at the University of Sheffield led by GKP.

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## **Data availability**

Data come from publicly available remote sensing and ecological datasets including:

MODIS (<https://modis.gsfc.nasa.gov/>), GIMMS3g.v1 (<https://nex.nasa.gov/nex/projects/1349/>), the High Latitude Drone Ecology Network (<https://arcticdrones.org/>), shrub abundance, annual growth ring and phenology datasets (<https://github.com/ShrubHub/QikiqtarukHub>, <https://arcticdata.io/catalog/view/doi:10.18739/A24X0Q>, <https://arcticdata.io/catalog/view/doi:10.18739/A28Q18>, <https://arcticdata.io/catalog/view/doi:10.5065/D6542KRH>).

## **Code availability**

Code is available in a GitHub repository (<https://github.com/ShrubHub/GreeningHub>).

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